

**U.S. Department of Commerce
Office of Acquisition Management**



**Privacy Threshold Analysis
for the
C.Suite Application**

U.S. Department of Commerce Privacy Threshold Analysis

Office of Acquisition Management/ComprizonSuite (C.Suite)

Unique Project Identifier: C. Suite is an EAS OS-059 Application

Introduction: This Privacy Threshold Analysis (PTA) is a questionnaire to assist with determining if a Privacy Impact Assessment (PIA) is necessary for this IT system. This PTA is primarily based from the Office of Management and Budget (OMB) privacy guidance and the Department of Commerce (DOC) IT security/privacy policy. If questions arise or further guidance is needed in order to complete this PTA, please contact your Bureau Chief Privacy Officer (BCPO).

Description of the information system and its purpose: *Provide a general description (in a way that a non-technical person can understand) of the information system that addresses the following elements:*

The E-Government Act of 2002 defines “information system” by reference to the definition section of Title 44 of the United States Code. The following is a summary of the definition: “Information system” means a discrete set of information resources organized for the collection, processing, maintenance, use, sharing, dissemination, or disposition of information. See: 44. U.S.C. § 3502(8).

- a) *Whether it is a general support system, major application, or other type of system*
 - C.Suite is a Minor System; it is a child system of the EAS application system boundary.
- b) *System location*
 - The C.Suite Management Office is located in Washington, DC. Application infrastructure is located at the Department of Transportation – Enterprise Services Center (DOTESC) in Oklahoma City.
- c) *Whether it is a standalone system or interconnects with other systems (identifying and describing any other systems to which it interconnects)*
 - C.Suite is a child system to the DOC Enterprise Application System.
- d) *The purpose that the system is designed to serve*
 - The application represents the standard procurement business practice for all Commerce agencies except PTO.
- e) *The way the system operates to achieve the purpose*
 - C.Suite provides an environment to create, route, track and report all procurement activity for Commerce. This includes small purchase requirements as well as complex contract activities. The program is designed to provide consistent automated support to all Commerce procurement offices and offer aggregate procurement reporting information and analysis capabilities to operating unit and departmental management.

- f) *A general description of the type of information collected, maintained, use, or disseminated by the system*
 - The application collects taxpayer ID numbers, personal data such as name and address, and work related contact information.
- g) *Identify individuals who have access to information on the system*
 - Individuals within the DOC bureaus and Federal agencies involved in the federal acquisition process for services, goods, and materials provided by the vendor community to the Federal Government will have access to the information.
- h) *How information in the system is retrieved by the user*
 - Data is retrieved by authorized users through a secured data extract point from the System for Award Management.
- i) *How information is transmitted to and from the system*
 - Information is transmitted to and from C.Suite through the System for Award Management, which consolidates several existing Federal government wide procurement and award support systems into a single database and single entry point.

Questionnaire:

1. What is the status of this information system?

- This is a new information system. *Continue to answer questions and complete certification.*
- This is an existing information system with changes that create new privacy risks. *Complete chart below, continue to answer questions, and complete certification.*

Changes That Create New Privacy Risks (CTCNPR)					
a. Conversions		d. Significant Merging		g. New Interagency Uses	
b. Anonymous to Non-Anonymous		e. New Public Access		h. Internal Flow or Collection	
c. Significant System Management Changes		f. Commercial Sources		i. Alteration in Character of Data	
j. Other changes that create new privacy risks (specify):					

- This is an existing information system in which changes do not create new privacy risks, and there is not a SAOP approved Privacy Impact Assessment. *Continue to answer questions and complete certification.*
- This is an existing information system in which changes do not create new privacy risks, and there is a SAOP approved Privacy Impact Assessment (version 01-2015). *Continue to answer questions and complete certification.*
- This is an existing information system in which changes do not create new privacy risks, and there is a SAOP approved Privacy Impact Assessment (version 01-2017 or later). *Skip questions and complete certification.*

2. Is the IT system or its information used to support any activity which may raise privacy concerns?

NIST Special Publication 800-53 Revision 4, Appendix J, states “Organizations may also engage in activities that do not involve the collection and use of PII, but may nevertheless raise privacy concerns and associated risk. The privacy controls are equally applicable to those activities and can be used to analyze the privacy risk and mitigate such risk when necessary.” Examples include, but are not limited to, audio recordings, video surveillance, building entry readers, and electronic purchase transactions.

_____ Yes. *(Check all that apply.)*

Activities			
Audio recordings		Building entry readers	
Video surveillance		Electronic purchase transactions	
Other (specify):			

_____ No.

3. Does the IT system collect, maintain, or disseminate business identifiable information (BII)?

As per DOC Privacy Policy: “For the purpose of this policy, business identifiable information consists of (a) information that is defined in the Freedom of Information Act (FOIA) as “trade secrets and commercial or financial information obtained from a person [that is] privileged or confidential.” (5 U.S.C.552(b)(4)). This information is exempt from automatic release under the (b)(4) FOIA exemption. “Commercial” is not confined to records that reveal basic commercial operations” but includes any records [or information] in which the submitter has a commercial interest” and can include information submitted by a nonprofit entity, or (b) commercial or other information that, although it may not be exempt from release under FOIA, is exempt from disclosure by law (e.g., 13 U.S.C.)”

_____ Yes, the IT system collects, maintains, or disseminates BII.

_____ No, this IT system does not collect any BII.

4. Personally Identifiable Information (PII)

4a. Does the IT system collect, maintain, or disseminate PII?

As per OMB 17-12: “The term PII refers to information that can be used to distinguish or trace an individual’s identity either alone or when combined with other information that is linked or linkable to a specific individual.”

_____ Yes, the IT system collects, maintains, or disseminates PII about: *(Check all that apply.)*

- _____ DOC employees
- _____ National Institute of Standards and Technology Associates
- _____ Contractors working on behalf of DOC
- _____ Other Federal Government personnel
- _____ Members of the public

No, this IT system does not collect any PII.

If the answer is “yes” to question 4a, please respond to the following questions.

4b. Does the IT system collect, maintain, or disseminate Social Security numbers (SSNs), including truncated form?

Yes, the IT system collects, maintains, or disseminates SSNs, including truncated form.

Provide an explanation for the business need requiring the collection of SSNs, including truncated form.
Provide the legal authority which permits the collection of SSNs, including truncated form.

No, the IT system does not collect, maintain, or disseminate SSNs, including truncated form.

4c. Does the IT system collect, maintain, or disseminate PII other than user ID?

Yes, the IT system collects, maintains, or disseminates PII other than user ID.

No, the user ID is the only PII collected, maintained, or disseminated by the IT system.

4d. Will the purpose for which the PII is collected, stored, used, processed, disclosed, or disseminated (context of use) cause the assignment of a higher PII confidentiality impact level?

Examples of context of use include, but are not limited to, law enforcement investigations, administration of benefits, contagious disease treatments, etc.

Yes, the context of use will cause the assignment of a higher PII confidentiality impact level.

No, the context of use will not cause the assignment of a higher PII confidentiality impact level.

If any of the answers to questions 2, 3, 4b, 4c, and/or 4d are “Yes,” a Privacy Impact Assessment (PIA) must be completed for the IT system. This PTA and the approved PIA must be a part of the IT system’s Assessment and Authorization Package.

CERTIFICATION

X I certify the criteria implied by one or more of the questions above **apply** to C.Suite and as a consequence of this applicability, I will perform and document a PIA for this IT system.

I certify the criteria implied by the questions above **do not apply** to the [IT SYSTEM NAME] and as a consequence of this non-applicability, a PIA for this IT system is not necessary.

Name of System Owner (SO): Teresa Coppolino

Signature of SO: TERESA COPPOLINO Digitally signed by TERESA COPPOLINO
Date: 2020.02.28 15:09:21 -05'00' Date: _____

Name of Information Technology Security Officer (ITSO): Jun Kim

Signature of ITSO: JUN KIM Digitally signed by JUN KIM
DN: c=US, o=U.S. Government, ou=Department of Commerce, ou=Office of the Secretary, cn=JUN KIM, 0.9.2342.19200300.100.1.1=13001001483988
Date: 2020.03.24 08:10:38 -04'00' Date: _____

Name of Privacy Act Officer (PAO): Lisa Martin

Signature of PAO: LISA MARTIN Digitally signed by LISA MARTIN
Date: 2020.05.08 06:39:55 -04'00' Date: _____

Name of Authorizing Official (AO): Stephen Kunze

Signature of AO: STEPHEN KUNZE Digitally signed by STEPHEN KUNZE
Date: 2020.03.10 13:18:30 -04'00' Date: _____

Name of Bureau Chief Privacy Officer (BCPO): Maria Dumas

Signature of BCPO: ANGELA WASHINGTON Digitally signed by ANGELA WASHINGTON
Date: 2020.05.08 12:27:47 -04'00' for Maria Dumas Date: _____